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## Article

# Effects of Climate Change on Navigability Indicators of the Lower Athabasca River, Canada

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**Abstract:** The lower Athabasca River (Canada) has experienced notable declines in streamflow and increasing oil sands development since the 1970s. This study investigates the potential impacts of climate change on navigability using both observed historical and projected future flows derived via hydrological simulations driven by an ensemble of statistically downscaled general circulation model climate data. Our use of proposed indices that form the Aboriginal Navigation Index (ANI) and a new index based on percentage over threshold (POT) occurrences yielded novel insights into anticipated changes to the flow regime. Comparisons of near (2041–2070) and far (2071–2100) future periods with the historical baseline (1981–2010) yielded results that project significant reductions in the  $500 \text{ m}^3 \text{ s}^{-1}$  POT during the fall navigability period spanning weeks 34 to 43, as well as reductions in the integrated  $\text{ANI}_{\text{Fall}}$ . These results indicate that challenging navigational conditions may become more frequent in the second half of the 21st century, not only during this fall period but also earlier into the summer, due to a shift in the flow regime, with potentially severe impacts on the users of the river channels. Our assessment approach is transferable to other regional study areas and should be considered in water management and environmental flow frameworks.

**Keywords:** Aboriginal Navigation Index; climate change; hydrological indicators; Athabasca River



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## 1. Introduction

During the past century, climate change and variability, land- and water-use changes, and instream flow alterations for the purposes of development have all affected the quantity and timing of water flowing in river systems [1–4]. Globally, forty-eight percent of river volume is moderately to severely affected by flow and/or fragmentation [5]. Furthermore, only ~37% of rivers longer than 1000 km remain free-flowing over their entire length [6]. Over the past decades, both free-flowing and instream-altered river systems have been affected by extreme seasonal low flow periods, which have had substantial impacts on society and the economy, such as navigable flows [7–9]. The latter has been of interest for several decades as part of examining environmental flows (e.g., [10–12]).

Western Canada is an area of particular concern given the historical and projected rate of climate warming combined with the increasing demand for water from industrial and municipal development [13–16]. Many northern communities rely on waterways not only for water supply but also to support economic activities and livelihoods [8,9]. A cold-region river system that provides a range of ecosystem services is the Athabasca River, one of the longest undammed rivers in North America that flows more than 1450 km and has

received international attention due to an impending water scarcity crisis postulated by Western scientists [16] and Indigenous Peoples [17].

In response to concerns regarding growing water use allocations for development and declining summer flows, the Government of Alberta approved the Surface Water Quantity Management Framework for the lower Athabasca River to manage water withdrawals by the oil sands sector based on a set of weekly flow triggers and limits, while balancing social, environmental, and economic interests [18]. The framework incorporates an Aboriginal Navigation Index that recognizes the river as an important transportation corridor providing access to Indigenous traditional lands and activities, such as hunting during the seasonal open-water low-flow period when there is a need to get the boat “on step” with the added weight of a harvested moose on board [19].

Navigability along a river reach is affected by channel characteristics that convey a given flow and generate a corresponding water depth, which may be impacted by water withdrawals, past dredging and its cessation, and fluctuating flows due to a varying and changing climate, as well as changing geomorphology. River users have noted that sections of the lower Athabasca River system have experienced poor navigability. Hence, there is a concern that water withdrawals from the river and tributaries exacerbate the natural changes and adversely affect navigation during seasonal low-flow periods.

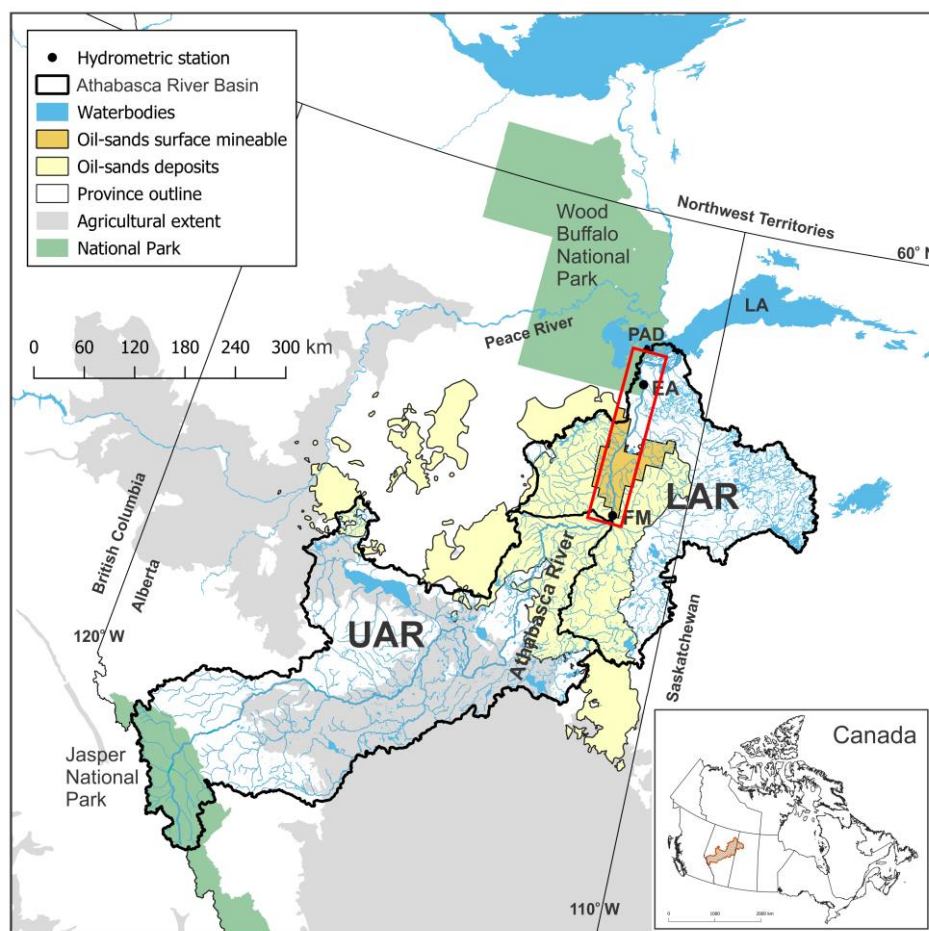
A navigation study for Transport Canada examined more than 11 high-risk sites along the lower 200 km reach of the Athabasca River [20]. Important conclusions that can be gleaned from this report are that current water withdrawals by the oil sands sector ( $<8 \text{ m}^3 \text{ s}^{-1}$ ) decrease the daily open-water depth by less than 0.02 m, with climate change likely resulting in a relatively much greater impact on water depth. The assessment of the projected climate change effects on navigability on the river via the application of the Aboriginal Navigation Index has yet to be carried out. This important knowledge gap thus warrants a more in-depth consideration to gain an understanding of anticipated changes to the river flows during times of the year when access by boat is critical for Indigenous Peoples.

The goal of this paper is to present the results of an investigation assessing the potential impacts of climate change on navigability indices for the lower Athabasca River streamflow using both observed historical flows and projected future flows derived via hydrological simulations driven by multiple statistically downscaled general circulation model climate data outputs. The focus will be on employing a suite of navigational flow-related hydrological indices to inform users of the river system and incorporate this knowledge into water management and holistic environmental flow frameworks.

## 2. Study Area

The Athabasca River forms one of the headwater source rivers of the Mackenzie River Basin in western Canada (Figure 1). The river originates from the melt of snow and ice of the Columbia Icefields in the Rocky Mountains. From there, the river travels through the rugged alpine, sub-alpine, and forested montane natural sub-regions of Jasper National Park, further through foothills and onto the low relief, mixed wood forest of the Boreal plains. At Fort McMurray, the Hangingstone (Boreal highlands) and Clearwater (Athabasca plains and Boreal shield) Rivers join the mainstem. Flowing north, the mainstem is joined by several smaller tributaries, including the Steepbank, Muskeg, Mackay, Firebag, and Richardson Rivers that drain the nearby hills.

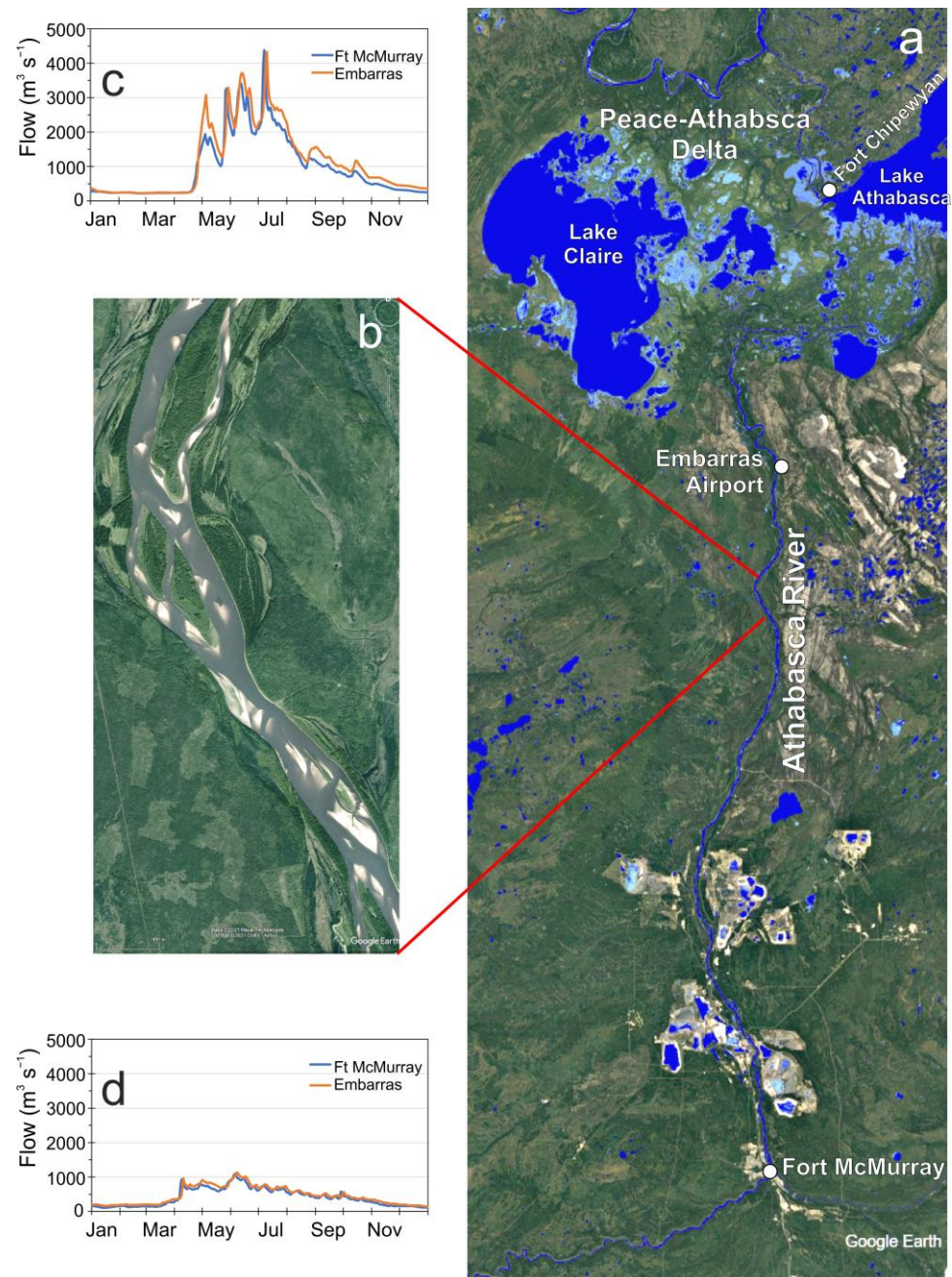
The Athabasca River is the largest direct inflow to the connected Lake Athabasca and Peace–Athabasca Delta. The latter is a wetland ecosystem of international significance recognized by the Ramsar Convention, and 80% lies within the Wood Buffalo National Park, a UNESCO World Heritage Site. The Athabasca River Basin ( $\sim 159,000 \text{ km}^2$ ) and downstream Peace–Athabasca Delta ( $\sim 6000 \text{ km}^2$ ) are located predominantly on Treaty 8 Land, with a portion of the headwaters on Treaty 6. Several First Nation and Métis Nation people utilize the lower Athabasca River and connected waterways.



**Figure 1.** Athabasca River Basin in western Canada (modified from [21]). UAR and LAR are the upper and lower Athabasca River drainage areas, respectively. The study reach of interest is outlined in a red polygon with hydrometric gauges on the Athabasca River mainstem just below city of Fort McMurray (FM) and at Embarras Airport (EA). The Peace–Athabasca Delta (PAD) and Lake Athabasca (LA) are also shown. Inset map shows location of study basin within western Canada.

The Athabasca River Basin drains eight physiological sub-regions of Alberta [22]. Agriculture, oil and gas, and forestry-related land-use change activities are present within the basin [23]. Several pulp mills are located on the river between the town of Hinton and Athabasca, and oil sand mining occurs near Fort McMurray. Landscape alteration associated with open-pit mining of oil sands commenced in the late 1960s. Approximately 740 km<sup>2</sup> of the 4800 km<sup>2</sup> available for surface mining have been disturbed as of 2020 [24] (Figure 1). Less than 5% of the annual river flow is allocated for water use; withdrawals by the oil sands industry comprise the bulk of this allocation [18]. The oil sands industry has a maximum cumulative allowable water extraction of 29 m<sup>3</sup> s<sup>−1</sup> from the Athabasca River when the flow is ample (e.g., summer time), reducing to 4.4 m<sup>3</sup> s<sup>−1</sup> when the flow is restricted (e.g., winter time) [18]. In recent years, approximately 3 to 7 m<sup>3</sup> s<sup>−1</sup> have been extracted from the lower Athabasca River on a daily basis by the oil sands industry [25,26].

The river gradient changes dramatically from the headwaters to the mouth, dropping > 1200 m. The 181 km reach downstream of Fort McMurray to Embarras Airport has a river slope of approximately 1.06 cm km<sup>−1</sup>, and the slope drops to 0.25 cm km<sup>−1</sup> in the last 115 km to Lake Athabasca [27] (Figure 2a). The lower Athabasca River mainstem has numerous small islands, mid-channel bars, and sand bars around curves (Figure 2b), with meanders progressing downstream closer and within delta distributary channels, such as the Embarras River [28].



**Figure 2.** (a) Lower Athabasca River mainstem from Fort McMurray to Embarras Airport to Lake Athabasca and the Peace–Athabasca Delta system. Examples of (b) islands, mid-channel bars, and sand bars; (c) a high summer flow year in 2020; and (d) a low summer flow year in 2015.

An ice cover typically forms on the length of the Athabasca River in early November [29]. Shortly thereafter flows decrease to a minimum of  $\sim 200 \text{ m}^3 \text{ s}^{-1}$  at Fort McMurray. The ice cover remains until late April to early May when it disintegrates in response to warming air temperatures and the addition of snowmelt runoff. River discharge rises rapidly in mid-April near the delta, producing the first peak of the year at  $\sim 1000 \text{ m}^3 \text{ s}^{-1}$ , on average. With the addition of rainfall runoff from the lower portions and snowmelt runoff from the upper portions of the drainage basin, the mainstem discharge continues to increase and experiences a second, higher peak of  $>1500 \text{ m}^3 \text{ s}^{-1}$  in mid-summer. Streamflow typically decreases along the entire mainstem subsequent to August. Figure 2c,d show the divergence between an open-water high-flow year (2020) versus a low-flow (2015) year.

Recognizing the importance of the Athabasca River to the ecology and economy of the area, the government of Canada and Alberta initiated a Joint Oil Sands Monitoring Program (JOSMP) to “implement scientifically rigorous, comprehensive, integrated and transparent environmental monitoring of the oil sands region to ensure this important national resource is developed in a responsible way” [30–32]. Furthermore, the Surface Water Quantity Management Framework for the lower Athabasca River (hereafter “the Water Framework”) established weekly environmental flow targets and triggers to check the oil sands sector water use to protect river health [18].

### 3. Methods

#### 3.1. Hydrometric Data Sources

Historical observed daily flows ( $\text{m}^3 \text{s}^{-1}$ ) for the Athabasca River just below Fort McMurray (07DA001; 1958–2018) and downstream near Embarras Airport (07DD001; 1971–1984 and 2014–2018) were obtained from the HYDAT archive [33] (see Figures 1 and 2 for locations). Simulated streamflow data were obtained from the study of Dibike et al. [34], which utilized the variable infiltration capacity process-based and distributed hydrologic model to produce the following streamflow time series: (1) historical reference baseline (1981–2010), (2) near future (2041–2070), and (3) far future (2071–2100) periods. The streamflow simulations were generated based on climate data derived from 6 general circulation models from the Coupled Model Intercomparison Project Phase 5 (CMIP5, [35]) corresponding to the representative concentration pathway (RCP 4.5 intermediate and RCP 8.5 worst case) emission scenarios and two statistical downscaling methods, forming an ensemble of 24 hydrologic projections for the 07DA001 hydrometric site on the lower Athabasca River. The variable infiltration capacity model has been successfully applied to simulate historical and future flows in large basins, including the Athabasca River Basin. Details of the rationale for climate scenario selection and the hydrological model application over this basin, its calibration/validation, and derivation of daily flow datasets can be found in Eum et al. [36–38] and Dibike et al. [34,39].

#### 3.2. Navigation Indices

Two approaches were used for investigating historical and projected future changes to flow magnitude thresholds that negatively affect Indigenous Peoples’ navigability of the lower Athabasca River mainstem.

##### 3.2.1. Fall Aboriginal Navigation Index ( $\text{ANI}_{\text{Fall}}$ )

The preliminary Fall Aboriginal Navigation Index ( $\text{ANI}_{\text{Fall}}$ ) trigger is an index proposed in the Water Framework [18] that is based on the concepts of the Aboriginal Base Flow (ABF) and Aboriginal Extreme Flow (AXF) developed by Candler et al. [40] for Indigenous users of the river as the range in stream flow where navigability declines from “good” (ABF) to “poor” (AXF). The ABF ( $1600 \text{ m}^3 \text{ s}^{-1}$ ) is a level where Treaty and Aboriginal rights with regards to navigation and access may be practiced fully along the lower Athabasca River and adjoining tributaries, while the AXF is a safe navigational depth of 1.2 m required for a fully loaded boat with an outboard motor [18,40].

The ANI is a function of flows entering the lower Athabasca River reach represented by flows ( $Q$ ) measured at 07DA001 in the following equations:

$$\text{ANI} = \begin{cases} 0 & Q < 300 \text{ m}^3 \text{ s}^{-1} \\ 0.018Q^{0.605} - 0.563 & 300 \text{ m}^3/\text{s} \leq Q < 1600 \text{ m}^3 \text{ s}^{-1} \\ 1 & 1600 \text{ m}^3 \text{ s}^{-1} \leq Q \end{cases} \quad (1)$$

ANI is a value ranging between 0 and 1, where a value of 0 corresponds to flow of  $<300 \text{ m}^3 \text{ s}^{-1}$  and 1 corresponds to  $1600 \text{ m}^3 \text{ s}^{-1}$  (ABF). The ANI is  $\sim 0.1$  at the original  $\text{AXF}_O$  of  $400 \text{ m}^3 \text{ s}^{-1}$  proposed by Candler et al. [40] and is  $\sim 0.2$  for a revised  $\text{AXF}_R$  of  $500 \text{ m}^3 \text{ s}^{-1}$  proposed by Carver [41] based on more recent field-based science [42]. The

AXF<sub>R</sub> was independently supported by hydraulic modeling studies to provide a water depth of 1.2 m [20,43].

Of particular interest is the end of summer/early fall period, which is a time of year when Aboriginal Peoples' access to traditional waterways and landscape may be impeded by seasonal low flows. The Fall Aboriginal Navigation Index is calculated from [18]:

$$\text{ANI}_{\text{Fall}} = \frac{1}{10} \sum_{i=34}^{43} \text{ANI}(Q_i) \quad (2)$$

where  $i$  is for weeks 34 to 43 (August 20 to October 28), and  $Q_i$  is the average weekly flow.

Although there is a broader move within Canada towards the use of the term Indigenous, as a collective name for the original peoples of North America and their descendants, the Canadian Constitution specifically recognizes and affirms the existing Aboriginal and Treaty rights of the Aboriginal Peoples (First Nations, Métis, and Inuit—3 distinct peoples with unique histories, languages, cultural practices, and spiritual beliefs). Furthermore, it is formally recognized that Indigenous Peoples in Canada have full control over the collection, ownership, storage, and use of their Indigenous knowledge and Indigenous science. The ANI, ABF, and AXF are, therefore, used hereafter fully respecting the above.

### 3.2.2. Percentage Days of Flow above a Threshold

To understand the historical behavior of daily flows and facilitate the analysis of projected climate change effects on weekly ANI and ANI<sub>Fall</sub>, as well as on ANI = 0, AXF<sub>O</sub>, AXF<sub>R</sub>, and ABF thresholds, the percentage of days in a given week when flows were more than a threshold was calculated. Each daily flow was assigned a value of 1 if the flow was  $\geq$  threshold and 0 when  $<$  threshold. These values were then summed up for each week and divided by the number of days in a week to derive a percentage over threshold (POT) occurrence for 300, 400, 500, and 1600 m<sup>3</sup> s<sup>-1</sup> (POT<sub>300</sub>, POT<sub>400</sub>, POT<sub>500</sub>, POT<sub>1600</sub>). For all weekly indices, the last week of February (week #9) during a leap year and the last week of the year (week #52) were taken as an 8-day week. See Abbreviations for these acronyms.

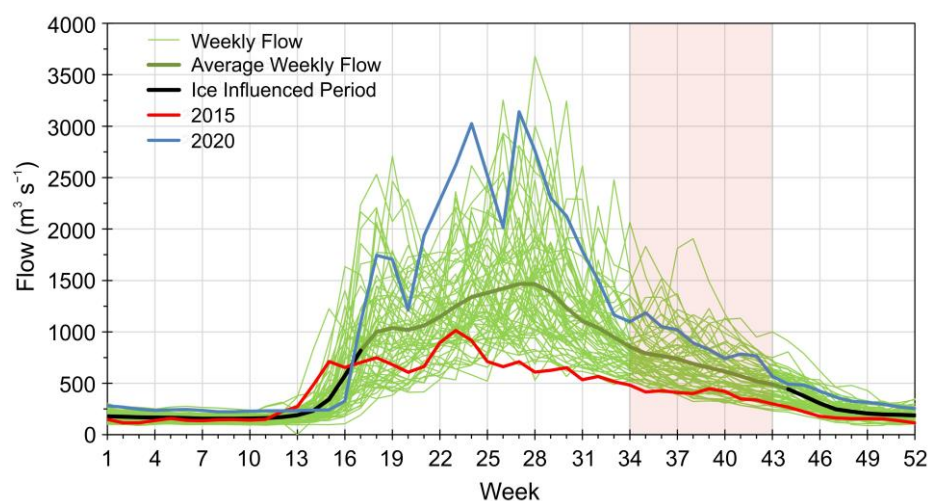
### 3.3. Statistical Analyses

As in Peters et al. [21], the Mann–Kendall (M-K; [44,45]) trend test was performed in R (Version 3.6.1; R Development Core Team [46]) using the 'zyp' package of Bronaugh and Werner [47], with the Zhang and Zwiers [48] iteratively pre-whitening (IPW) method applied to remove significant serial autocorrelation in the time series [49,50]. The non-parametric slope ( $\beta$ ) of each time series was calculated using Sen's estimate [51], and significant trends were identified at  $p \leq 0.05$ .

Box-and-whisker plots are used to summarize weekly and seasonal index magnitude and change over the historical period and between simulated future versus baseline periods. The box was bound by the lower ( $Q_1$ ; 25th percentile) and upper ( $Q_3$ ; 75th percentile) quartiles, with both the median (line) and mean ( $\bar{X}$ ) shown within the box, while the ends of the whiskers (extremes) are 1.5 times the inter-quantile range beyond  $Q_1$  and  $Q_3$ .

## 4. Results and Discussion

As shown in Figure 3, the average weekly flow regime of this river can be highly variable, both seasonally and from year-to-year. Navigability of small watercrafts can be challenging during lower-than-normal summer and fall flow conditions. For instance, 2015 experienced extremely low flows throughout the open-water period, especially over weeks 34 to 43 with  $<500$  m<sup>3</sup> s<sup>-1</sup> (Figure 3) and reports of trouble navigating in certain reaches of the rivers as a result of water depths  $< 1.2$  m (Figure 4). See Kashyap et al. [43] for a hydraulic modeling study on the probability of boat access at various flow rates. The year 2015 highlights the need to consider navigability as part of a holistic environmental flow framework, especially for a river system experiencing important changes to the flow regime as a result of a changing climate and increasing development [21].



**Figure 3.** Observed historical flow for the Athabasca River just below Fort McMurray (07DA001) for 1958 to 2020. Each green line corresponds to one year of flow in weekly averages, with the long-term mean highlighted in bold green line and the fall navigability period in transparent red.



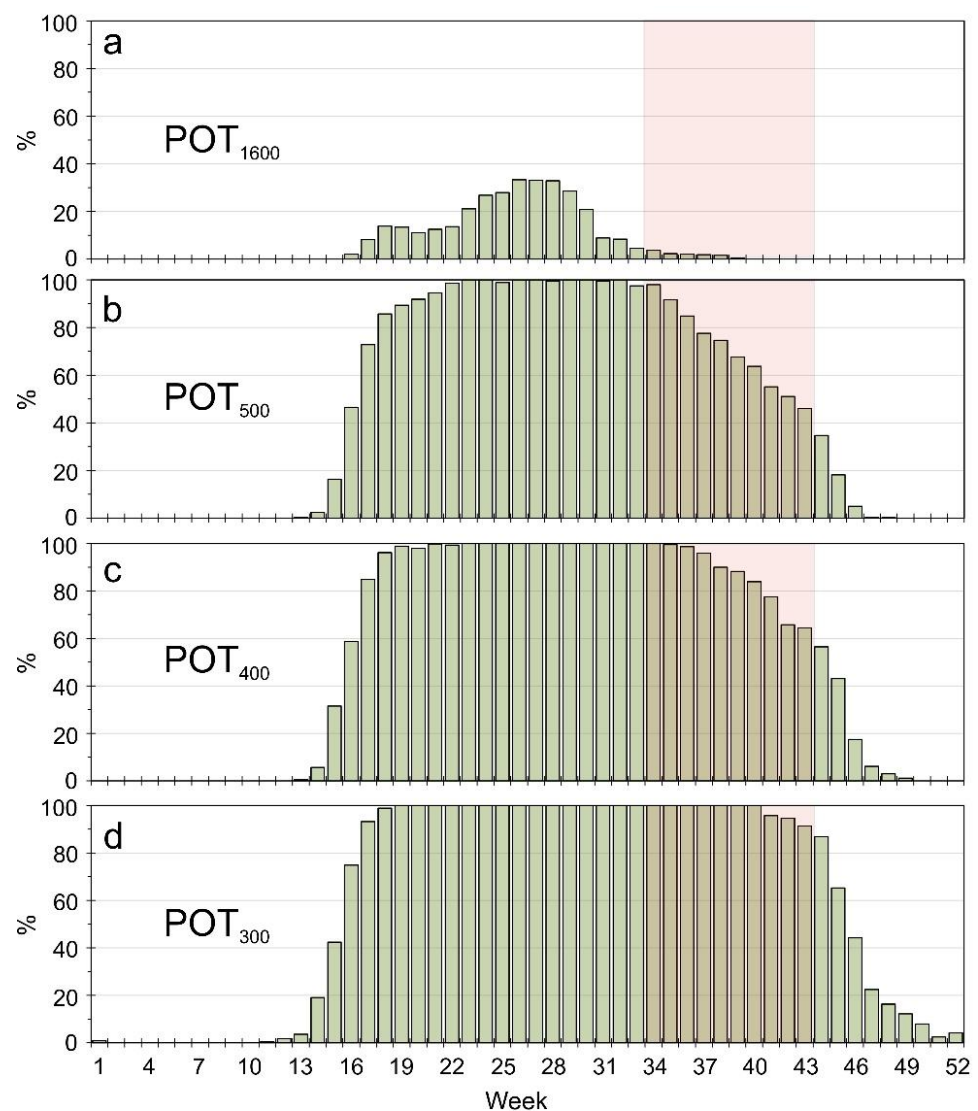
**Figure 4.** Picture of Indigenous People disembarked and pulling their boat due to extreme low-flow/shallow depth (appears to be ~knee deep) on the Embarras River divergence from the Athabasca River on 14 October 2015 ( $328 \text{ m}^3 \text{ s}^{-1}$ ; week 41). Reproduced with permission from and photo credited to Mr. Bruce Maclean.

#### 4.1. Historical Flow Thresholds

As outlined in the Methods section, the key flow thresholds have been derived based on Indigenous knowledge and science. These include the ABF ( $1600 \text{ m}^3 \text{ s}^{-1}$ ; flow above which Indigenous People are able to fully practice their rights and access territories [40]), AXF<sub>O</sub> ( $400 \text{ m}^3 \text{ s}^{-1}$ ; [40]) and AXF<sub>R</sub> ( $500 \text{ m}^3 \text{ s}^{-1}$ ; flow below which widespread and extreme difficulties in Indigenous navigation occurs due to loss of access [41]), and ANI = 0 ( $300 \text{ m}^3 \text{ s}^{-1}$ ; reflecting a depth at which navigation with a loaded boat may become impossible) [18].



Overall, the exceedance of the ABF ( $POT_{1600}$ ) during the open-water period (~weeks 18 to 43) was not a given in any particular year, with a weekly occurrence range spanning 100% down to 0%, and the best boating conditions (e.g., deepest waters) typically occurring during the mid-summer weeks (Figure 5a). For instance, in week 26 around which the annual peak flow typically occurs on the LAR [21,29,52], the bulk of the years (mean of 67%) did not exceed the ABF ( $1600 \text{ m}^3 \text{ s}^{-1}$ ) threshold and thus were less than good boating conditions; similar findings are seen for adjacent weeks. Noteworthily, the vast majority of years had very low to no daily occurrences of ABF exceedances during the  $ANI_{\text{Fall}}$  period spanning weeks 34 to 43, indicating that this period of interest was historically not always ideal for boating and accessing areas of importance, especially with a heavy load that would require greater water depth.



**Figure 5.** Observed historical mean percentage over threshold (POT) in a given week when daily flows were greater than navigation thresholds (a)  $1600 \text{ m}^3 \text{ s}^{-1}$  ( $POT_{1600}$ ), (b)  $500 \text{ m}^3 \text{ s}^{-1}$  ( $POT_{500}$ ), (c)  $400 \text{ m}^3 \text{ s}^{-1}$  ( $POT_{400}$ ), and (d)  $300 \text{ m}^3 \text{ s}^{-1}$  ( $POT_{300}$ ) for the period spanning 1958 to 2020. The fall navigability period is highlighted in transparent red.

Historically, daily flows were typically above the  $AXF_R$  ( $POT_{500}$ ) during the open-water period, but flow below this threshold increased in frequency after week 33, i.e., a mean of ~2% for week 34 to a mean of 54% for week 43 (Figure 5b). This response pattern aligns with the period identified by the Water Framework [18] for the  $ANI_{\text{Fall}}$ , which in

combination with the hydraulic modeling verification [20,53], further indicates that this revised  $AXF_R$  threshold should be incorporated into the ANI in place of the original lower value as it is more conservative in detecting earlier in the year a change in flow/water depth critical for practicing boat-related traditional activities than the lower  $AXF_O$  threshold (Figure 5c vs. Figure 5b). In line with studies that have reported declines in summer/early fall flows on the river [16,21,54,55], the total number of annual  $AXF_R$  threshold exceedances ( $POT_{500}$ ) over weeks 34 to 43 significantly decreased ( $p < 0.05$ ;  $\beta = -0.335 \text{ y}^{-1}$ ) over 1958 to 2020, which would have negatively impacted navigability, as noted by Elders [42].

According to the Water Framework [18],  $ANI = 0$  ( $300 \text{ m}^3 \text{ s}^{-1}$ ) when navigation may become impossible with a fully loaded boat at a  $\sim 1 \text{ m}$  or less water depth. Over the 63 years of data, the average number of days when the flow was above  $300 \text{ m}^3 \text{ s}^{-1}$  ( $POT_{300}$ ) was 100% for weeks 34 to 40, 95.7% for week 41, 94.6% for week 42, and 91.4% for week 43 (Figure 5d). The minimum flows observed for these latter three weeks were 282, 258, and  $238 \text{ m}^3 \text{ s}^{-1}$ , respectively. Overall, less than  $300 \text{ m}^3 \text{ s}^{-1}$  during the open-water period did occur, but this was historically uncommon. However, such occurrences may become more frequent under a changing climate and increasing water abstractions that could yield further diminished flows during critical navigation periods.

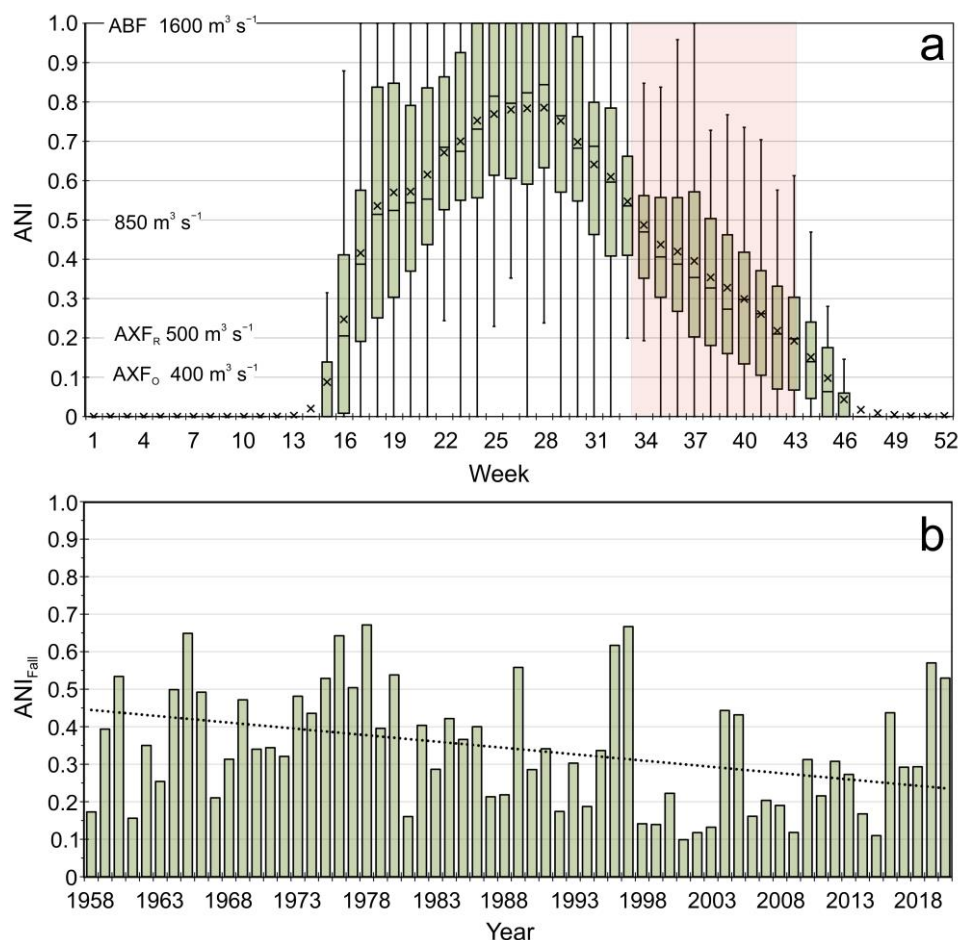
Carver [53] disputed the validity of assigning a value of  $300 \text{ m}^3 \text{ s}^{-1}$  for  $ANI = 0$  and recommended that the  $AXF_R$  be incorporated into a proposed Indigenous Navigability Index (INI) in which  $INI = 0$  at  $500 \text{ m}^3 \text{ s}^{-1}$  and flow values below this flow threshold are retained in the calculation, and INI is assigned negative values. In light of this suggestion and taking into account the anticipated future climate change impacts on open-water flows and yet unknown changes to the channel bathymetry that may alter the AXF value associated with a  $\sim 1.2 \text{ m}$  depth, it would be worthwhile for the Water Framework to revisit and update the preliminary index via setting  $ANI = 0$  at  $0 \text{ m}^3 \text{ s}^{-1}$ . Although a relatively rare occurrence historically, the inclusion of  $<300 \text{ m}^3 \text{ s}^{-1}$  days in the ANI calculation would enable the index to be adaptable to changing channel conditions and incorporate diminishing flows beyond this threshold. Having said that, assessing the applicability of the ANI and INI is beyond the scope of this paper, but it is worth mentioning that work to further refine indicators from an Indigenous knowledge and science perspective is ongoing prior to arriving at what one would consider a “final” navigability index for the lower Athabasca River and downstream into the Peace–Athabasca Delta.

#### 4.2. Historical Aboriginal Navigation Index

Using the preliminary Aboriginal Navigation Index formulation outlined in Equation (1) from the Water Framework [18], the weekly ANI was calculated for the period spanning 1958 to 2020, with the range of this navigability index plotted in Figure 6a. As shown in the whisker-box plots, weekly ANI values were notably variable from year-to-year, and a general pattern emerged in the mean values. In line with the percentage days above a threshold presented in the prior section, the ANI value increased rapidly in response to the spring snowmelt water influx to the river mainstem ( $\sim$ week 16), rising to a peak of  $\sim 0.78$  during mid-summer with the addition of rainwater runoff ( $\sim$ weeks 25–28), and subsequently, declining to  $\sim 0.20$  by the end of the summer/early fall period (week 43). Overall, the open-water period experienced general decreases in weekly ANI values over the 63-year period, with cumulative weeks 36 to 39 significant at  $p < 0.05$  ( $\beta = -0.004$ ), which has important implications for the Fall ANI period focused upon in the Water Framework.

The annual  $ANI_{\text{Fall}}$  index, which represents an integrated view of weeks 34 to 43 (Equation (2)), varied considerably from 1958 to 2020, ranging from 0.10 to 0.67 and experiencing intermittent low and high index periods (Figure 6b). Of concern are years with low summer/fall flows, such as 2015, with an  $ANI_{\text{Fall}}$  value of 0.11 (equal to  $AXF_O = 400 \text{ m}^3 \text{ s}^{-1}$ ), that have occurred sporadically over the historical period. Even the slightly higher  $AXF_R$  ( $ANI = 0.21$ ) was not surpassed in 15 of the 63 years (Figure 6b). These results indicate that fall navigability would have been a challenge (shallow water depths) in nearly  $1/4$  of

the years since 1958 and thus is part of the natural variability of the Athabasca River flow regime but occurred more frequently in the second half of the hydrometric record. Overall, a significant decline ( $p < 0.05$ ;  $\beta = -0.003$ ) in  $ANI_{Fall}$  was observed over the study period.



**Figure 6.** Observed historical (a) weekly Aboriginal Navigation Index (ANI) with the fall period (weeks 34–43) highlighted in red and (b) annual Fall Aboriginal Navigation Index ( $ANI_{Fall}$ ) time series with significant (Sen’s slope) trend line ( $p < 0.05$ ;  $\beta = -0.003 \text{ y}^{-1}$ ) drawn through the data 1958–2020. ABF, AXF<sub>O</sub>, and AXF<sub>R</sub> are Aboriginal Base Flow and original and revised Aboriginal Extreme Flow, respectively.

These historical ANI results presented in this section are consistent with prior studies that reported important declines in annual and particularly summer flows on the lower Athabasca River post-1958 [11,16,21,54,56,57]. Although there is multidecadal flow variability associated with low-frequency, large-scale climate oscillations (i.e., Pacific Decadal Oscillation) [11,56,58], it is worth noting that post-1900s studies using reconstructed datasets indicated little long-term monotonic change in annual flows [21,59,60].

This ANI index has been part of the annual Water Framework status reporting [25,61–64] since its implementation in 2016 to determine whether or not ANI decreased by >10% in a given year after accounting for water withdrawals from oil sands operations. For instance, the Water Framework reported that the weekly ANIs decreased by 0.8 to 3.0% and  $ANI_{Fall}$  by 1.4% in 2017 with water abstractions, resulting in the Preliminary Aboriginal Navigation Index trigger not being exceeded in that year [61]. ANI calculations in this study revealed that even for the 2015 low-flow year, the 10% threshold would not have been exceeded, with weekly ANIs decreased by 2 to 8%, but also showed that the occurrence of ANI = 0 precluded the calculation of a percentage water abstraction change for week 43. The latter occurrence supports our earlier suggestion of including flows of  $<300 \text{ m}^3 \text{ s}^{-1}$  in

the index to avoid this situation, which may become more frequent in the future. Although water abstractions are shown here to play a role in reducing flows, the goal of this study is to answer the critical question: “what are the projected impacts of climate change on flows during the fall navigation period of the year”.

#### 4.3. Climate Change Impacts on Future Navigation Thresholds

The studies on future climate change impacts in western Canada have consensus that important changes in the streamflow regime, which include shifts in the timing of the annual peak and low-flow periods, are anticipated to take place in the coming decades [36,65–70]. For instance, a recent climate change assessment by Dibike et al. [34] using the same hydrological model and climate change scenarios as in the current study revealed the following key findings for the lower Athabasca River streamflow regime: Near (2041–2070) and far (2071–2100) future projected streamflow increases for most months of the year with the exception of decreases for July through October (see Figure 3 in [34]); the latter would have negative implications for navigability and users of the river.

Near future exceedances of the ABF ( $POT_{1600}$ ) are projected to increase in early April (~week 15) and into May, shift towards decreases in mid-June, and back to no notable (<10%) changes by the end of August (week 34) (Figure 7). Although a similar pattern of changes can be seen for the far future period, increases in ABF exceedances are projected to occur slightly earlier in the year, peaking in late May/early April at a greater frequency (e.g., up to a mean of 20%), and extend slightly later into the summer months prior to switching to more intense decreases in occurrences on a weekly basis. However, in both future periods, the overall projected change in ABF exceedance during the fall navigation period of weeks 34 to 43 is almost negligible, which is not surprising given that such occurrences were uncommon over the observed historical period.

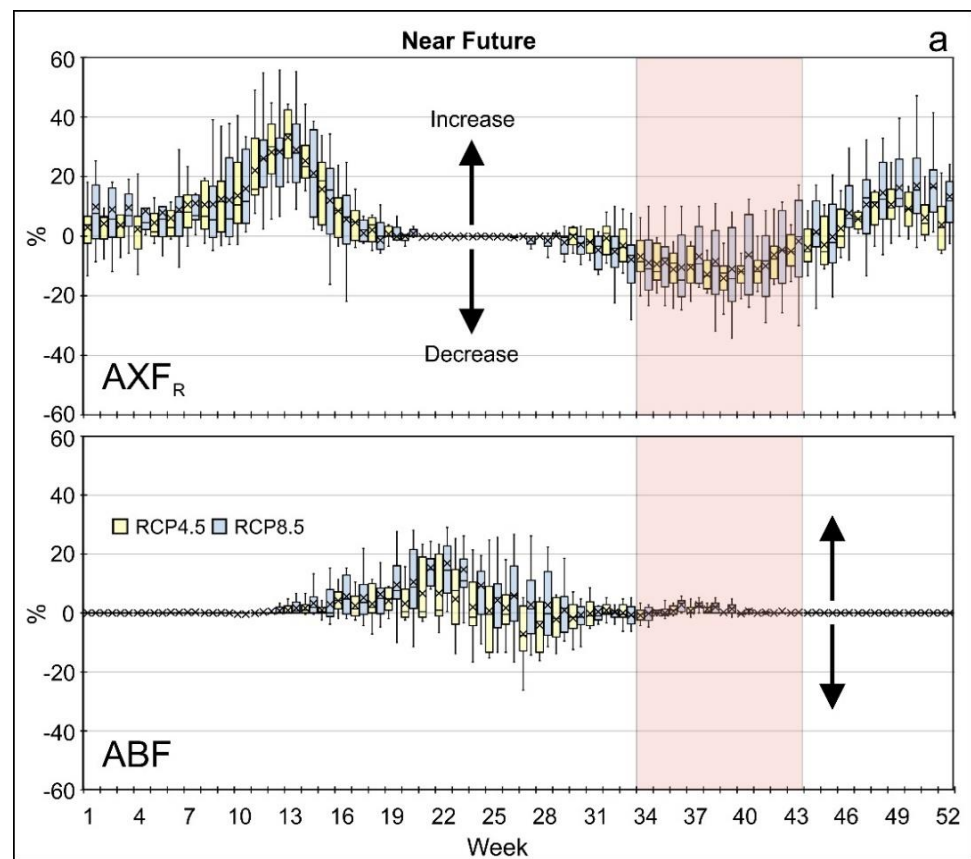
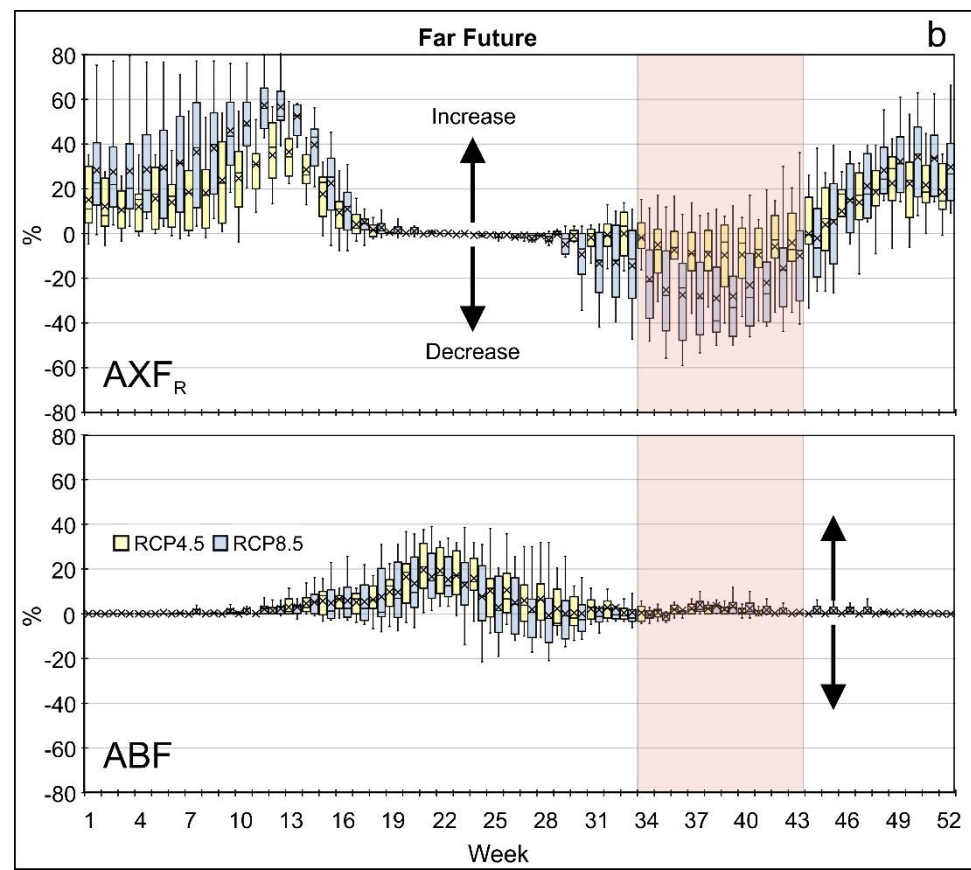


Figure 7. Cont.



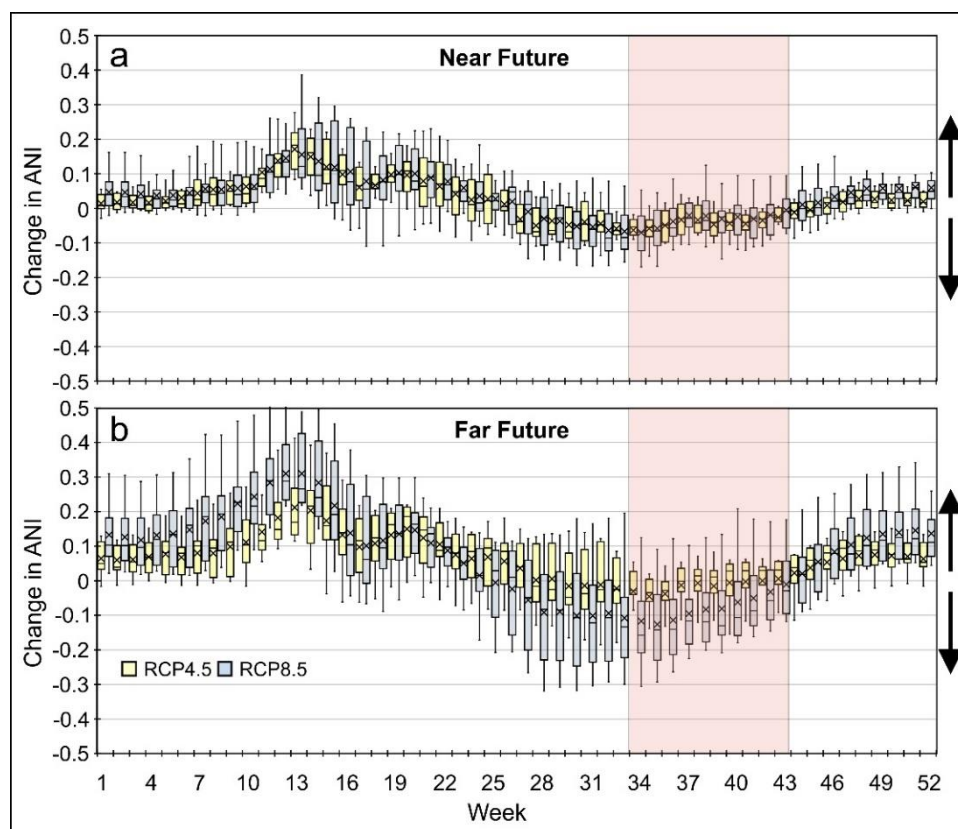
**Figure 7.** Simulated projected changes of the percentage over threshold (POT) occurrences for navigation thresholds  $500 \text{ m}^3 \text{ s}^{-1}$  ( $\text{POT}_{500}$ ) and  $1600 \text{ m}^3 \text{ s}^{-1}$  ( $\text{POT}_{1600}$ ) that correspond to the revised Aboriginal Extreme Flow ( $\text{AXF}_R$ ) and Aboriginal Base Flow (ABF), respectively, under (a) near (2041–2070) and (b) far future (2071–2100) periods relative to the historical baseline reference (1981–2010). Flow data were derived via the variable infiltration capacity model based on 12 sets of climate projections (6 global circulation models  $\times$  2 statistically downscaled models) and 2 representative concentration pathways (RCPs 4.5 and 8.5). The fall navigability period is highlighted in red.

Although the winter months (weeks 1 to 17 and 44 to 52) are projected to experience notable ( $>10\%$ ) and important increases in the occurrences of days that surpass the  $\text{AXF}_R$  threshold (Figure 7), of critical concern for navigability are changes during the open-water period that will affect the water depths along the lower Athabasca River. It can be clearly seen in Figure 7 that the fall navigability period spanning weeks 34 to 43 is projected to experience important reductions in  $\text{AXF}_R$  threshold exceedances ( $\text{POT}_{500}$ ) in the near future (up to a mean of  $-15\%$  for both RCPs) and far future (mean peaks of  $-10\%$  for RCP4.5 and  $-28\%$  for RCP8.5) periods, especially under RCP8.5 (worst case scenario) for 2071–2100. These results based on an ensemble of simulations indicate that low open-water flow events, such as the challenging boating conditions depicted in Figure 4, may become more frequent occurrences in the second half of the 21st century during the Fall ANI weeks of interest to Indigenous Peoples, as identified in the Water Framework [18].

#### 4.4. Climate Change Impacts on Future Aboriginal Navigation Index

The projected positive and negative changes in weekly ANI for the near (2041–2070) and far (2071–2100) future periods are shown in Figure 8. Although not the case for the early portion of the year prior to week 25, the majority of the open-water period is anticipated to experience decreases in weekly ANI, more so in the latter part of the century and under the RCP8.5 scenario. Continued declines in ANI are of particular concern for the end of season weeks 40 to 43, which are already experiencing occasional poor boating conditions and are

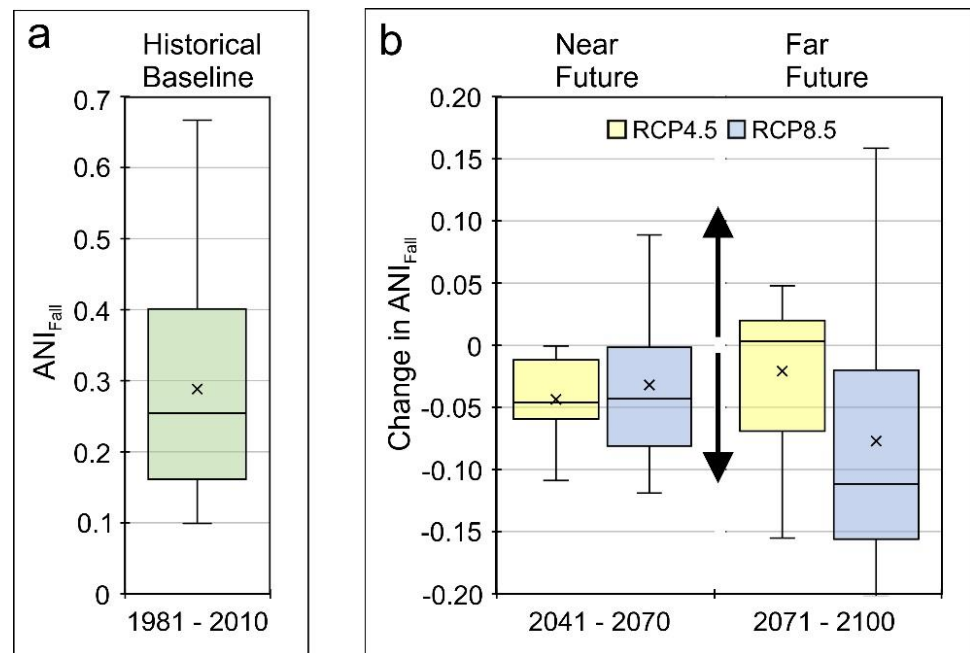
projected in this study to be further impacted by climate change with a likelihood of more frequent instances of ANI = 0. The “final ANI” should thus take this into consideration in order to avoid “0” values in the calculation of the Fall ANI, especially when assessing the additional impact of water abstraction for annual Water Framework reporting.



**Figure 8.** Simulated projected changes in weekly Aboriginal Navigation Index (ANI) under (a) near future (2041–2070) and (b) far future (2071–2100) periods relative to the historical baseline reference period (1981–2010) derived via hydrological simulations based on 12 sets of climate projections (6 global circulation models  $\times$  2 statistically downscaled models) and 2 representative concentration pathways (RCPs 4.5 and 8.5). The fall navigability period is highlighted in transparent red.

Over the historical reference baseline spanning 1981–2010, the  $ANI_{Fall}$  averaged 0.29, with a fairly wide yearly variation ranging from 0.10 to 0.67 (Figure 9a). As suggested from the findings above for weekly ABF,  $AXF_R$ , and ANI and in line with the monthly flow finding reported by Dibike et al. [34], the  $ANI_{Fall}$  is projected to decrease (a mean of approximately  $-0.04$  to  $-0.03$ ) for the near future period, with a wider spread of decline projected for the far future period mean value for the RCP4.5 ( $\sim -0.02$ ) and RCP8.5 ( $\sim -0.08$ ) climate scenarios (Figure 9b). The projected change in  $ANI_{Fall}$  is, to some extent, driven by decreases in the early part of this 10-week period (Figure 8). As would be expected from the results of other climate change studies on the Athabasca River Basin [34,36], the inter-model variability in the projected changes in  $ANI_{Fall}$  for the far future period and RCP8.5 scenario is higher than for the RCP4.5 or the near future period.

Under both the near (2041–2070) and far (2071–2100) future scenarios, the projected reductions in the  $ANI_{Fall}$  are anticipated to inch closer to the critical  $AXF_R$  value of 0.21 identified as the minimum water depth ( $\sim 1.2$  m) necessary for boats during weeks 34 to 43, especially under the far future worst case emission scenario. Such a projected negative change would have major implications for Indigenous Peoples’ ability to practice traditional navigational activities and access the lower Athabasca River and connected Lake Athabasca and Peace–Athabasca Delta waterways.



**Figure 9.** (a) Observed Fall Aboriginal Navigation Index ( $ANI_{Fall}$ ) for the historical baseline period (1981–2010) and (b) simulated projected change in  $ANI_{Fall}$  for the near future (2041–2070) and far future (2071–2100) relative to the baseline derived via hydrological model simulations based on 12 sets of climate projections (6 global circulation models  $\times$  2 statistically downscaled models) and 2 representative concentration pathways (RCPs 4.5 and 8.5).

In addition to climate impact on streamflow magnitude and timing, fluvial geomorphological processes that shape water movement within deltaic environments warrant monitoring the water depth at key sites (i.e., pinch points), which currently and may yet pose problems to navigability. Such an example is channel avulsions in the early 1980s that re-routed Athabasca River water and sediments towards the heart of the delta and led to navigability issues identified as part of community-based monitoring [42,71,72].

## 5. Conclusions

The Athabasca River is an important source of water to the downstream Peace–Athabasca Delta and a navigational route that provides access to traditional activities and landscapes for Indigenous Peoples. Boat operation has been identified as a challenging endeavor during periods of low flow, typically during the end of summer and early fall months prior to the winter freeze. This paper investigated the potential impacts of climate change on navigability indices for the lower Athabasca River using both observed historical and projected future flows.

A weekly flow exceedance index was developed to gain a better understanding of daily limitations to boating that can be lost within a mean weekly flow or integrated fall period metric. For instance, achieving a daily flow greater than the Aboriginal Base Flow (ABF;  $600 \text{ m}^3 \text{ s}^{-1}$ ) was not a given in any year of the historical record, and the vast majority of the years had infrequent daily ABF exceedances (percentage over the threshold;  $POT_{1600}$ ) during the period spanning weeks 34 to 43. The latter result indicates that this period of interest was historically not always ideal for boating, which is further supported by the findings that the revised Aboriginal Extreme Flow ( $AXF_R$ ;  $500 \text{ m}^3 \text{ s}^{-1}$ ) exceedances ( $POT_{500}$ ) after week 34 have been generally decreasing, which aligned with a general decline in the Fall Aboriginal Navigation Index ( $ANI_{Fall}$ ) from 1958 to 2020.

Comparisons of near (2041–2070) and far (2071–2100) future periods to the historical baseline (1981–2010) yielded results that project important reductions in  $AXF_R$  exceedances during the fall navigability period spanning weeks 34 to 43 and the  $ANI_{Fall}$  indices achieved.

These results, based on an ensemble of hydrologic simulations, indicate that challenging navigational conditions may become more frequent in the second half of the 21st century, not only during the fall period but also earlier into the summer, with potentially severe impacts on users of the rivers.

Based on our historical and future scenario analyses, the following recommendations should be considered in finalizing the ANI<sub>Fall</sub>: (i) update the ANI and use the updated AXF<sub>R</sub> of 500 m<sup>3</sup> s<sup>-1</sup>, as validated by hydraulic modeling and community-based monitoring and (ii) set ANI = 0 at 0 m<sup>3</sup> s<sup>-1</sup> to consider lower flow conditions that are currently and likely to happen more frequently into the future. To overcome the potential loss of daily navigability information in a weekly ANI and integrated ANI<sub>Fall</sub> index, the lower Athabasca River Surface Water Quantity Management Framework should consider the addition of a daily index, such as a percentage threshold occurrence index (e.g., POT<sub>500</sub>), to the annual reporting in order to present the river users a summary of the number of days when boating conditions were challenging and how this is changing over time due to climate and water use.

The navigability indices and assessment approach employed in this study are transferable to other river systems but require focused fieldwork to establish boating thresholds (e.g., ABF and AXF) that are specific to river reaches of interest (i.e., flow versus depth). For instance, a major task underway by Indigenous community-based monitoring in the downstream Lake Athabasca and Peace–Athabasca Delta system into which the Athabasca River drains is the development of navigability indices that are specific to lakes and connecting channels [71]. Overall, holistic hydrological assessments of changes, such as the Indicators of Hydrological Alteration [73] or the Cold-regions Hydrological Indicators of Change [74] approaches, should include navigability indices that inform water assessment and management decisions. This is the case for the environmental flow framework that is under development for the Peace–Athabasca Delta system as part of the Wood Buffalo National Park World Heritage Site action plan [75].

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## Abbreviations

### List of Acronyms for Navigability Metrics

ABF	Aboriginal Base Flow threshold (1600 m <sup>3</sup> s <sup>-1</sup> )
ANI	Aboriginal Navigation Index (0 to 1 range)
ANI <sub>Fall</sub>	Fall Aboriginal Navigation Index (0 to 1 range)
AXF <sub>O</sub>	Aboriginal Extreme Flow original threshold (400 m <sup>3</sup> s <sup>-1</sup> )
AXF <sub>R</sub>	Aboriginal Extreme Flow revised threshold (500 m <sup>3</sup> s <sup>-1</sup> )
INI	Indigenous Navigability Index



POT <sub>300</sub>	Number of days in a week expressed as a percentage over threshold (POT) of 300 m <sup>3</sup> s <sup>-1</sup>
POT <sub>400</sub>	Number of days in a week expressed as a percentage over threshold (POT) of 400 m <sup>3</sup> s <sup>-1</sup>
POT <sub>500</sub>	Number of days in a week expressed as a percentage over threshold (POT) of 500 m <sup>3</sup> s <sup>-1</sup>
POT <sub>1600</sub>	Number of days in a week expressed as a percentage over threshold (POT) of 1600 m <sup>3</sup> s <sup>-1</sup>

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